



Application No. 10/081,767  
Docket No. 740756-2443

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Shunpei YAMAZAKI et al. ) Examiner: Thanh T. Nguyen  
Serial No. 10/081,767 ) Group Art Unit: 2813  
Filed: February 25, 2002 )  
For: METHOD OF MANUFACTURING A )  
SEMICONDUCTOR DEVICE ) Confirmation No. 9406

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 20, 2003

Signature: Linda Ritter  
Name: Linda Ritter

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The Commissioner is hereby authorized to charge \$180 to deposit account no. 19-2380 to comply with the provisions of 37 C.F.R. § 1.97(c)

Please note, previously cited Japanese Laid-Open Patent Application No. 11-074536 was cited in the Office Action of related U.S. Patent Application No. 10/056,054. The '536 Japanese Patent Application and it's counterpart U.S. Patent 6,281,057 were already filed in the IDS of February 25, 2002.

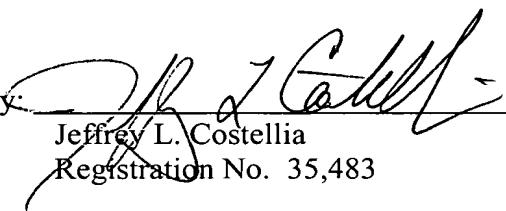
It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the

attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is also authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (740756-2443)

Respectfully submitted,  
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Substitute for form 1449, 822 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
Sheet	1	of	1	Application Number	10/081,767
				Filing Date	February 25, 2002
				First Named Inventor	Shunpei YAMAZAKI
				Art Unit	2813
				Examiner Name	Thanh T. Nguyen
				Attorney Docket Number	740756-2443

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,256,681	03-17-1981	Lindmayer	
		US-4,814,292	03-21-1989	Sasaki et al.	
		US-5,904,770	05-18-1999	Ohtani et al.	
		US-5,948,496	09-07-1999	Kinoshita et al.	
		US-6,014,944	01-18-2000	Aklufi et al.	
		US-6,509,579 B2	01-21-2003	Takeya et al.	
		US-			

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Kind Code <sup>5</sup> Country Code <sup>3</sup> Number <sup>4</sup> (if known)			

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			<sup>2</sup>

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.